

IF \$2,623

| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | | | Application No. | 09/ | 704,991 |
|---|---------------------------------------|-----------------------|---|-------------------------|---|
| | | | Filing Date | No | vember 1, 2000 |
| | | | First Named Inventor | Edv | vard L. Schwartz |
| | | Art Unit | 262 | 3 | |
| | | | Examiner Name | Wu | , Jingge |
| Total Number of P | ages in This Submissi | on 6 | Attorney Docket Number | 744 | 51P042X2 |
| ENCLOSURES (check all that apply) | | | | | |
| Fee Transmittal I | Form | Drawing(s) | _ | | After Allowance Communication to TC |
| Fee Attach | ched Licensing-r | | elated Papers | | Appeal Communication to Board of Appeals and Interferences |
| Amendment / Response | | Petition | | | Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| | After Final Petition to Provisional | | Convert a Application | | Proprietary Information |
| Extension of Tim | Extension of Time Request | | Power of Attomey, Revocation Change of Correspondence Address | | Status Letter |
| Express Abandonment Request | | Terminal Disclaimer | | $ oldsymbol{\boxtimes}$ | Other Enclosure(s) (please identify below): |
| Information Disclosure Statement | | Request for Refund | | | Seven (7) Cited References Return Receipt Postcard |
| PTO/SB/0 | | CD, Number of CD(s) | | | |
| Certified Copy of Document(s) | Prionty | Landscape Table on CD | | | |
| Response to Mis Incomplete Appli | sing Parts/ cation | | 1 | <u> </u> | |
| | Filing Fee | Remarks | | | |
| Declaration/POA | | | | | |
| Response to Missing Parts under 37 CFR 1.52 or 1.53 | | · | | | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | | | |
| Firm or | 1 Wilding 3. Winner, 100, 100, 50,571 | | | | |
| Individual name | BLAKELY, S | OKOLOFF, T | TAYLOR & ZAFMA | AN I | LLP |
| Signature | 2 | | - | | |

CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

| Typed or printed name | | | |
|-----------------------|-----------------|------|-------------------|
| Signature | / MUXIM / MUMIL | Date | December 12, 2005 |

December 12, 2005

Date

Docket No.: 74451P042X2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

EDWARD L. SCHWARTZ, ET AL

Application No.: 09/704,991

Filed: November 1, 2000

For: REVERSIBLE EMBEDDED WAVELET

SYSTEM IMPLEMENTATION

Art Group: 2623

Examiner: Wu, Jingge

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

12/15/2005 HBIZUHES 00000091 09704991

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The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith. Please charge any additional fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

| Respectfully | submitted, |
|--------------|------------|
|--------------|------------|

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: December 12, 2005

Michael J. Mallie, Reg. No. 36,591

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (408) 720-8300

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12-12-

Sanara D. Espinoza

Date



Complete if Known FEE TRANSMITTAL Application Number 09/704,991 for FY 2005 Filing Date November 1, 2000 Patent fees are subject to annual revision First Named Inventor Edward L. Schwartz **Examiner Name** Wu, Jingge Applicant claims small entity status. See 37 CFR 1.27. Art Unit 2623 **TOTAL AMOUNT OF PAYMENT** 180.00 Attorney Docket No. 74451P042X2 METHOD OF PAYMENT (check all that apply) ☑ Check ☐ Credit card ☐ Money Order ☐ None Other (please identify): Deposit Account Deposit Account Number: <u>02-2666</u> Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayment of fee(s) under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. FEE CALCULATION Large Entity Small Entity Fee Fee Fee Fee **Fee Description** Fee Paid Code Code (\$) (\$) 1051 2051 130 65 Surcharge - late filing fee or oath 1052 50 2052 ²⁵ Surcharge - late provisional filing fee or cover sheet. 2053 130 2053 130 Non-English specification 1251 120 2251 60 Extension for reply within first month 1252 450 2252 225 Extension for reply within second month 1253 1,020 2253 510 Extension for reply within third month 1254 1,590 2254 795 Extension for reply within fourth month 2,160 2255 1255 1,080 Extension for reply within fifth month 1401 500 2401 ²⁵⁰ Notice of Appeal 500 1402 2402 ²⁵⁰ Filing a brief in support of an appeal 1.000 1403 2403 500 Request for oral hearing

SUBMITTED BY
Name (Print/Type) Michael J. Mallie Registration No. (Attomey/Agent) 36,591 Telephone (408) 720-8300
Signature Date 12/12/05

1,510 Petition to institute a public use proceeding

180 Submission of Information Disclosure Stmt

395 Filing a submission after final rejection (37 CFR § 1.129(a))

395 For each additional invention to be examined (37 CFR § 1.129(b))

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SUBTOTAL (2)

⁵⁰ Processing fee under 37 CFR 1.17(q)

130 Petitions to the Commissioner

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Other fee (specify)

2451

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OIPE 3005 DEC 1 5 2005

Substitute for form 1449A/PTO
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet | 1 | of | 1

| Complete if Known | | | | |
|------------------------|--------------------|---|--|--|
| Application Number | 09/704,991 | _ | | |
| Filing Date | November 1, 2000 | _ | | |
| First Named Inventor | Edward L. Schwartz | _ | | |
| Art Unit | 2623 | | | |
| Examiner Name | Wu, Jingge | _ | | |
| Attorney Docket Number | 74451P042X2 | | | |

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|--------------|---|---|--|---|
| Examiner Initials* | Cite No.¹ | Document Number Number - Kind Code² (if known) | Publication Date or Issue Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| | FOREIGN PATENT DOCUMENTS | | | | | |
|---|--------------------------|---|--------------------------------|--|---|----------|
| | Cite | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T |
| | No.1 | Country Code ³ - Number ⁴ - Kind Code ⁶ (if known) | | | | |
| | | JP 06-177914 | 06-24-1994 | Fujitsu Ltd. | | |
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| | <u> </u> | JP 07-074966 | 03-17-1995 | Canon Inc. | | |
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| 1 | 1 | | | | | |

| Examiner | Date | |
|-----------|------------|---|
| Signature | Considered | 1 |

[&]quot;Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

^{&#}x27;Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.